



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: **FOX ET AL**.

Serial No. 09/500,108

Filing Date: February 8, 2000

For: SYSTEM AND METHOD FOR ASSESSING THE SECURITY

POSTURE OF A NETWORK

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SUBMISSION OF AN INFORMATION DISCLOSURE STATEMENT UNDER \$1.97(c) & (e)

Director, U.S. Patent and Trademark Office Washington, DC 20231

Sir:

Attached is a form PTO-1449 listing several references which may be considered material to the examination of the above-identified application. A copy of each reference is also enclosed.

Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

- (1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to

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any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Applicants note that the article entitled, "The Network Vulnerability Tool (NVT) - A System Vulnerability Visualization Architecture," was authored by co-inventors, Ronda R. Henning and Kevin L. Fox, as part of their affiliation with the present assignee, Harris Corporation, and dated October 18, 1999.

Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR \$1.97(c) and (e).

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: DIRECTOR, U.S. PATENT & TRADEMARK OFFICE, WASHINGTON, DC 20231, on this 25 day of October, 2001.